

Application No. 10/728,989

Docket No. 740756-2682

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:)
Shunpei YAMAZAKI et al.) Examiner: David Vu
Serial No. 10/728,989) Group Art Unit: 2818
Filed: December 8, 2003)
For: ELECTRO-OPTICAL DEVICE AND)
DRIVING METHOD FOR THE SAME) Confirmation No. 1097

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. The references cited on the enclosed PTO-1449 were previously cited in parent application Serial No. 09/919,949. As a result, no copies of the cited references are enclosed. However, if the references have been misplaced by the patent office, the applicant will provide the Examiner with duplicate copies.


The documents are being submitted within three (3) months of the filing of this application or entry into the national stage of this application, or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380. (740756-2682)

Respectfully submitted,

By:



Jeffrey L. Costellia
Registration No. 35,483

NIXON PEABODY LLP
Suite 900
401 9th Street, N.W.
Washington, DC 20004-2128

Telephone: (202) 585-8000

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>		
				Application Number		10/728,989
				Filing Date		December 8, 2003
				First Named Inventor		Shunpei YAMAZAKI
				Group Art Unit		2818
				Examiner Name		David Vu
Sheet	2	of	3	Attorney Docket Number		740756-2682

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials [*]	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		YOUNG, c., <i>“THE NEW PENGUIN DICTIONARY OF ELECTRONICS”</i> , PENGUIN BOOKS, 1979, PP. 78-81	
		RELATED APPLICATION SERIAL NO. 08/788,560 (COPY OF SPECIFICATION AND DRAWINGS)	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

^{*} EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known		
				Application Number		10/728,989
				Filing Date		December 8, 2003
				First Named Inventor		Shunpei YAMAZAKI
				Art Unit		2818
				Examiner Name		David Vu
Sheet	3	of	3	Attorney Docket Number		740756-2682

FOREIGN PATENT DOCUMENTS						
Examiner Initials [*]	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³	Number ⁴ Kind Code ² (if known)			
		CA	1,296,438	04-04-1989	Takahara et al.	Abstract
		DE	0 265 973 A1	03-15-1989	Otto et al.	Abstract
		EP	0 259 875 A2	03-16-1988	Oki et al.	Full
		EP	0 276 002 A3	07-27-1988	Ukai et al.	Full
		EP	0 297 664 A3	01-04-1989	Nicholas	Full
		GB	1 447 639	08-25-1976	Hatsukano	Full
		GB	2,070,857	09-09-1981	Togashi	Full
		JP	49-077537	07-26-1974	Hatsukano	Abstract
		JP	53-144297	12-15-1978	Mori et al.	Abstract
		JP	64-010222	01-13-1989		Abstract
		JP	57-078185	05-15-1982	Yamazaki	Abstract
		JP	59-099887	06-08-1984	Soneda et al.	Abstract
		JP	60-245173	12-04-1985	Yamazaki	Full
		JP	63-082177	04-12-1988	Matsushita et al.	Abstract
		JP	63-096636	04-27-1988	Matsueda	Abstract
		JP	63-172193	07-15-1988	Oki et al.	
		JP	64-001273	01-05-1989	Sumiyoshi	Abstract
		JP	64-010222	01-13-1989		Abstract
		JP	1-107237	04-25-1989	Kabuto	Abstract
		JP	01-156725	06-20-1989	Matsueda	Full
		JP	2-1823	01-08-1990		Abstract
		JP	02-090531	03-30-1990	Kanai et al.	Abstract
		JP	02-178632	07-11-1990	Yuji et al.	Abstract
		JP	2-214819	08-27-1990	Kawai et al.	Abstract
		JP	02-230130	09-12-1990	Yamazaki	Full
		JP	02-234134	09-17-1990	Sumiyoshi et al.	Full

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.